Disclosure of Complaints by Alternative Investment Funds

(As required under SEBI Circular No. SEBI/HO/IMD/IMD-I/DOF9/P/CIR/2021/682 dated December 10, 2021)

Complaints data for **Caspian SME Impact Fund IV** for the quarter ended **30th September 2026.**

1. Investor complaints data for the quarter ending 30th September 2026.

S. No.	Investor Complains received from	Pending as at the end of the last quarter	Received during the quarter	Resolved during the quarter	Total Pending at the end of the quarter	Pending complaints > 3months	Average Resolution time (in days)
1	Directly from Investors	Nil	Nil	Not Applicable	Nil	Nil	Not Applicable
2	SEBI (SCORES)	Nil	Nil	Not Applicable	Nil	Nil	Not Applicable
3	Other Sources (if any)	Nil	Nil	Not Applicable	Nil	Nil	Not Applicable
	TOTAL	**Nil**	**Nil**	**Not Applicable**	**Nil**	**Nil**	**Not Applicable**

2. Investor complaints data for the last three Financial Years (FY)

S. No	FY	Carried forward from previous FY	Received during the FY	Resolved during the FY	Pending at the end of FY
1	2025-26	Nil	Nil	Not Applicable	Nil
2	2024-25	Nil	Nil	Not Applicable	Nil
3	2023-24	Nil	Nil	Not Applicable	Nil
	TOTAL	**Nil**	**Nil**	**Not Applicable**	**Nil**