Disclosure of Complaints by Investment Advisers

(As required under SEBI Circular SEBI/HO/IMD/IMD-II CIS/P/CIR/2021/0686, Dated: 13th Dec 2021)

Complaints data for **Caspian Impact Investment Adviser Private Limited** for the month ended: 31st October 2026.

1. Investor complaints data for the month ended 31st October 2026.

S. No	Investor Complaints received from	Pending at the end of last month	Received During this Month	Resolved During this Month	Total Pending at the end of the Month	Pending complaints > 3 months	Average Resolution time (in days)
1	Directly from Investors	Nil	Nil	Not applicable	Nil	Nil	Not applicable
2	SEBI (SCORES)	Nil	Nil	Not applicable	Nil	Nil	Not applicable
3	Other Sources (if any)	Nil	Nil	Not applicable	Nil	Nil	Not applicable
	Total	**Nil**	**Nil**	**Not applicable**	**Nil**	**Nil**	**Not applicable**

2. Trend of Monthly Disposal of Complaints

S. No	Month	Carried forward from previous month	Received	Resolved	Pending
1	April 2025	Nil	Nil	Not Applicable	Nil
2	May 2025	Nil	Nil	Not Applicable	Nil
3	June 2025	Nil	Nil	Not Applicable	Nil
4	July 2025	Nil	Nil	Not Applicable	Nil
5	August 2025	Nil	Nil	Not Applicable	Nil
6	September 2025	Nil	Nil	Not Applicable	Nil
7	October 2025	Nil	Nil	Not Applicable	Nil
8	November 2025	Nil	Nil	Not Applicable	Nil

9	December 2025	Nil	Nil	Not Applicable	Nil
10	January 2026	Nil	Nil	Not Applicable	Nil
11	February 2026	Nil	Nil	Not Applicable	Nil
12	March 2026	Nil	Nil	Not Applicable	Nil
13	April 2026	Nil	Nil	Not Applicable	Nil
14	May 2026	Nil	Nil	Not Applicable	Nil
15	June 2026	Nil	Nil	Not Applicable	Nil
16	July 2026	Nil	Nil	Not Applicable	Nil
17	August 2026	Nil	Nil	Not Applicable	Nil
18	September 2026	Nil	Nil	Not Applicable	Nil
19	October 2026	Nil	Nil	Not Applicable	Nil
	Total	**Nil**	**Nil**	**Not applicable**	**Nil**

3. Trend of annual disposal of complaints (FY)

S. No	FY	Carried forward from previous year	Received	Resolved	Pending
1	2019-20	Nil	Nil	Not applicable	Nil
2	2020-21	Nil	Nil	Not applicable	Nil
3	2021-22	Nil	Nil	Not applicable	Nil
4	2022-23	Nil	Nil	Not applicable	Nil
5	2023-24	Nil	Nil	Not applicable	Nil
6	2024-25	Nil	Nil	Not applicable	Nil
7	2025-26	Nil	Nil	Not applicable	Nil
	Total	**Nil**	**Nil**	**Not applicable**	**Nil**